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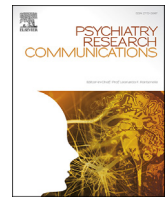


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## Construct validity and internal consistency of the Patient Health Questionnaire-9 (PHQ-9) depression screening measure translated into two Ugandan languages



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## ABSTRACT

In Uganda, depression is a major public health issue. Psychometric assessment of translated depression measures is critical to public health planning to ensure proper screening, surveillance, and treatment of depression and related outcomes. We examined aspects of the validity and reliability of the Patient Health Questionnaire-9 (PHQ-9) translated into Luganda and Runyoro among Ugandan adolescents and adults. Data from the ongoing AMBSO Population Health Surveillance study were analyzed from the Wakiso and Hoima districts in Uganda. Descriptive statistics were calculated for the overall sample and stratified by translated language. Construct validity was assessed for each translated scale using confirmatory factor analysis for ordinal data. The internal consistency of each translated scale was assessed using Cronbach's alpha, McDonald's omega total and omega hierarchical. Both the Luganda- and Runyoro-translated PHQ-9 had similar sample means (3.46 [SD = 3.26] and 3.58 [SD = 3.00], respectively), supported a single-factor structure, and demonstrated satisfactory internal consistency (Cronbach's alpha of 0.73 and 0.72, respectively). Our preliminary findings indicate that the Luganda and Runyoro translations of the PHQ-9 had satisfactory construct validity and internal consistency in our sample. Future studies should expand on this promising work by assessing additional psychometric characteristics of these translated measures in other communities in Uganda.

## 1. Introduction

Depressive disorders (e.g., major depressive disorder [MDD], dysthymia) affect over 264 million people globally (Disease et al., 2018). While MDD is a mood disorder characterized by persistent feelings of sadness, manifestations and severity of depression symptomology vary from person to person. Underlying causes of depression are not fully understood but have been attributed to a combination of genetic, biological, behavioral, psychological and environmental factors (The

National Institute of Mental Health, 2018). Depression can be a debilitating illness, with 5.45% of the total years lived with disability (YLD, a standardized metric used to measure disease morbidity) attributed to it globally (Global Health Data Exchan, 2019). In its most severe form, depression can lead to intentional self-harm and loss of life by suicide; neurobiological processes and genetic factors predisposing an individual to increased risk of suicide are not well understood (De Berardis et al., 2018). Although depression is common worldwide, individuals residing in low- and middle-income countries (LMIC) experience a greater burden

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of risk factors for depression (e.g., poverty and food insecurity) and are more likely to go untreated due to mental health stigma and limited access to mental health services (Patel et al., 2018; Lund et al., 2010).

In sub-Saharan Africa (SSA), depression is the leading cause of YLD (Institute for Health Metrics and Evaluation, 2017). The estimated prevalence of depression in SSA ranges from 2 to 6% in the general population aged 15–49 years (Global Health Data Exchan, 2019) and is significantly higher (up to 37%) among persons living with HIV (PLWH) (Bigna et al., 2019). Depression is a major public health issue because of its direct disease burden and as a risk factor and co-morbidity for other pervasive health issues including alcohol and other substance use disorders, HIV infection, intimate partner violence, and other chronic diseases (Ngo et al., 2013). In recent decades, understanding the epidemiology and burden of depression has become increasingly prioritized in SSA given its important role in shaping health outcomes, including risk of HIV acquisition (Kiene et al., 2017) and HIV care and treatment outcomes (Kinyanda et al., 2018). However, resources devoted to mental health surveillance and service delivery are limited as other health issues continue to take precedence in this resource-constrained setting (Patel et al., 2018).

The Patient Health Questionnaire-9 (PHQ-9) depression scale (Spitzer et al., 1999, 2000) was originally developed in English for self-administered use in clinical settings in the United States (Kroenke et al., 2001). While the initial validation study determined that the PHQ-9 measured a single underlying construct of depression (Kroenke et al., 2001), subsequent studies conducted in the United States found mixed results regarding the scale's factor structure (Krause et al., 2010; Richardson and Richards, 2008). A systematic review of translated versions of the PHQ-9 in LMICs found that 43% of studies evaluated the scale's construct validity, with most reporting a single- or two-factor structure (Carroll et al., 2020). In SSA, the PHQ-9 has been translated into multiple languages and implemented in various settings including South Africa (Bhana et al., 2015; Kigozi, 2020), Uganda (Nakku et al., 2016; Ssebunnya et al., 2019; Akena et al., 2013; Wagner et al., 2017; Ortblad et al., 2020), Rwanda (Sebera et al., 2020a), Botswana (Molebatsi et al., 2020), Kenya (Monahan et al., 2009; Mwangi et al., 2020), Nigeria (Adewuya et al., 2006), Ethiopia (Degefa et al., 2020; Fekadu et al., 2017; Gelaye et al., 2013), Tanzania (Nolan et al., 2018), Cameroon (Pence et al., 2012), Ghana, and Côte d'Ivoire (Barthel et al., 2015). Some of these studies have reported psychometric characteristics of their respective translated PHQ-9 scales (Bhana et al., 2015; Nakku et al., 2016; Ssebunnya et al., 2019; Akena et al., 2013; Ortblad et al., 2020; Sebera et al., 2020a; Monahan et al., 2009; Adewuya et al., 2006; Degefa et al., 2020; Molebatsi et al., 2020; Gelaye et al., 2013; Mwangi et al., 2020; Kigozi, 2020).

Although English is the national language of Uganda, it is neither the first nor the common local language of many Ugandans. The most widely spoken indigenous language in Uganda is Luganda, which is spoken by approximately 17% of the population (Largest Ethnic Groups in Uganda, 2021). Several studies have utilized the Luganda-translated PHQ-9 to screen for depression in the general population (Nakku et al., 2016; Ssebunnya et al., 2019), PLWH (Akena et al., 2013; Wagner et al., 2017), and female sex workers (Ortblad et al., 2020). However, few assessed the scale's psychometric properties. Nakku et al. (2016) assessed the sensitivity, specificity, and positive predictive value of the translated PHQ-9 among a sample of individuals attending rural primary clinics in eastern Uganda (Nakku et al., 2016), and also used the optimum cut-off for probable depression in a community sample in the same district (Ssebunnya et al., 2019). Wagner et al. (2017) and Ortblad et al. (2020) used a cut-off score for probable depression identified from previous psychometric research of the PHQ-9 elsewhere in SSA (Wagner et al., 2017; Ortblad et al., 2020) but did not examine the validity or reliability of the translated scale in their samples.

Rigorous psychometric research of depression measures is critical to public health planning efforts because it allows for more accurate estimates of disease burden which can guide resource allocation in resource-

constrained settings like SSA. Prior PHQ-9 translation and psychometric assessment efforts in Uganda have been in Luganda since it is the most widely spoken non-English language. However, Uganda is home to a diverse ethnic landscape and many languages are spoken throughout the country. In Midwestern Uganda (where the Banyoro people reside), Runyoro is the dominant language (spoken by ~670,000 Ugandans) (Ethnologue Lewis and Fennig, 2015). To inform mental health needs in Uganda, it is important to have an accurate estimate of the burden of depression, including among those who speak languages other than Luganda or English. In this study, we examined aspects of the validity and reliability of the PHQ-9 translated in Luganda and Runyoro in a large population-based cohort of Ugandans residing in the Wakiso and Hoima districts, respectively. Both districts are located in regions of Uganda that experience a high burden of HIV (6%–8%) (Uganda Ministry of Health, 2019) and qualitative research in both areas suggests that mental distress and mental health disorders are common (Miller et al., 2021). Considering that mental health assessment tools can perform differently in varying languages, we examined certain psychometric properties of the Luganda- and Runyoro-translated PHQ-9 scales separately. We theorized *a priori* that the translated scales would be unidimensional in both languages, given findings from previous studies in SSA (Sebera et al., 2020a; Monahan et al., 2009; Molebatsi et al., 2020; Gelaye et al., 2013; Mwangi et al., 2020; Kigozi, 2020). We also hypothesized that the translated scales would have good internal consistency in both study samples. The present study provides valuable insight pertaining to the performance of the PHQ-9 in two Ugandan languages and the estimated burden of depression in a large, generalizable sample of Ugandans.

## 2. Methods and materials

### 2.1. Study population and setting

This analysis includes data collected from the baseline round (May 2018–July 2019) of the Africa Medical and Behavioural Sciences Organization (AMBSO) Population Health Surveillance (APHS) study, which is an ongoing open cohort longitudinal mixed methods study implemented in Uganda. The primary aim of the APHS study is to monitor trends in infectious and non-communicable diseases, preventative as well as risk health behaviors, and family and population structures in the general population. The quantitative component of the APHS study is conducted continuously, with each subsequent round of data collection beginning immediately after the conclusion of the preceding round. At the beginning of every round, a household census is first conducted to enumerate the population in each study community and collect household sociodemographic information on all household members. Individuals who are eligible for survey participation are initially contacted via a hand delivered invitation with a follow-up call placed to those who do not reply. Individuals who participate and complete the survey are asked to invite any remaining eligible persons in their household in a third attempt to reach eligible community members. Prior to participation, written informed consent (or assent for minors) is obtained for all participants. As previously described (Miller et al., 2021), all census participants in enumerated households aged 13–80 years were eligible (i.e., met inclusion criteria) for participation in the quantitative baseline survey, which consisted of a structured questionnaire and collection of biological specimens for HIV and other sexually transmitted infections (STI) testing. The baseline round of the APHS was conducted in six communities across two districts in Central and Midwestern Uganda (i.e., Wakiso and Hoima). Study communities were selected to represent the diverse community types that comprise the districts (i.e., an urban, peri-urban, and rural community in each district) to improve generalizability of the study findings to the broader context of Uganda. Participants in this ongoing study are followed up every 12–18 months for subsequent APHS data collection rounds. The study was approved by the Clarke International University-Research Ethics Committee (CIU-REC) in Uganda and the Uganda National Council for Science and Technology (UNCST).

**Table 1**  
Runyoro and Luganda Translations of the Personal Health Questionnaire-9 (PHQ-9) and item means (range = 0–3).

Original <sup>a</sup> (Kroenke et al., 2001)	Luganda Translation <sup>b</sup> (n = 672)	M (SD)	Runyoro Translation <sup>c</sup> (n = 2297)	M (SD)
Over the last two weeks, how often have you been bothered by any of the following problems?	Muwiiki ebiri eziyise, mirundi emeeka bino ebizibu wamanga gye bibadde okutawanyamu?		Mu wiiki ibiri ezingwire, mirundi eingaha binu hansi y'ebikutalibanize?	
1 Little interest or pleasure in doing things	Obutanyumirwa oba okusanyusibwa mukukola ebintu eby'enjawulo	0.52 (0.73)	Obutasikirizibwa oba okwegonza n'okora ebintu ebyabulikiro	0.47 (0.65)
2 Feeling down, depressed, or hopeless	Okubeera omunakuwawu oba omwenyamivu oba ng'owulira obulamu tebukyalina makulu	0.52 (0.74)	Okwenoba rundi okunakuhara rundi okuhulira nti obwomezi tibukyakugasa	0.38 (0.63)
3 Trouble falling or staying asleep, or sleeping too much	Obuzibu mu kufuna otulo, oba mu kwebaka ekimala; oba okwebaka nnyo	0.50 (0.81)	Okulemererwa okufuna oturo, rundi okubyama mali; rundi okubyama ekihinguraine	0.57 (0.71)
4 Feeling tired or having little energy	Okuwulira obukowu oba okubeera n'amanyi amatono	0.76 (0.79)	Okuhulira obujwahi rundi okuhura otayina mani/oba amani gatoito	0.78 (0.71)
5 Poor appetite or overeating	Obutawomerwa/obutayagala byakulya oba okwagala okulya ennyo	0.47 (0.77)	Obutenda kulya rundi okulya munno	0.53 (0.70)
6 Feeling bad about yourself – or that you are a failure or have let yourself or your family down	Okwekyawa mubulamu bwo - oba okweraba ng'atakyali wamugaso muni; nga olaba olemeredwa mubyonna, nabafamile tebakyakulabawo	0.24 (0.61)	Okwenoba rundi okuhulira olemerrirwe rundi okuhulira nti famire yawe oginagirize	0.22 (0.49)
7 Trouble concentrating on things, such as reading the newspaper or watching television	Obuzibu mukusaayo omwoyo mu by'okola okugeza nga okusoma e mpapula z'amawulire oba okulaba TV	0.20 (0.53)	Okulemererwa okutayo omutima rundi omwoyo mu bintu by'okora tugambe nka okusoma empapura z'amahulire rundi okurora TV	0.31 (0.62)
8 Moving or speaking so slowly that other people could have noticed. Or the opposite – being so fidgety or restless that you have been moving around a lot more than usual	Okutambula oba okwogera empola ennyo n'abantu abalala ne bakiraba. Oba okuba nga toterera mukifo kimu, okwata kano ne kali era nga otambula tumbula nnyo odda eno odda eri okusinga bulijjo	0.17 (0.50)	Okurubata mpora rundi okubaza mpola muno n'abantu nibakyetegereza rundi okutatekana mukicweka kimu, n'ogyakunu nakuli ekitali kyabulikiro	0.22 (0.51)
9 Thoughts that you would be better off dead, or of hurting yourself in some way	Okulowooza nti wandibadde bulungi nsinga offudde oba okulowooza okwetusaako obulumi (obuvune) mungeri yonna	0.06 (0.31)	Okutekerezwa nti hakiri offa, rundi okugonza okwibonesha rundi okugonza okwehikyaho oburumi bwona	0.09 (0.32)

Abbreviations: M = mean, SD = standard deviation.

<sup>a</sup> Response options in English: 0 = not at all, 1 = several days, 2 = more than half the days, 3 = nearly every day.

<sup>b</sup> Response options in Luganda: 0 = nedda, 1 = enakku ezimu, 2 = enakku ezisinga obungi, 3 = kumpi buli lunaka

<sup>c</sup> Response options in Runyoro: 0 = busaho, 1 = ebiro ebimu, 2 = ebiro ebikusinga obwingi, 3 = haihi buli kiro

The PHQ-9 was incorporated into the survey after data collection was initiated. As such, the sample included in this analysis is restricted to participants from the four communities (one urban community in the Wakiso district and three communities in the Hoima district) who were administered the survey that included the PHQ-9 from September 2018 to July 2019.

## 2.2. Data collection

The survey was translated into Luganda and Runyoro (Table 1) by qualified and trained social workers fluent in each language and designated by the Common European Framework (CEFR) as C1 and C2 proficient English speakers. Data collection occurred in the most widely spoken local language (i.e., Luganda in the Wakiso district and Runyoro in the Hoima district). All data were collected in-person through one-on-one interviews which typically lasted 50–70 min. Data were collected by experienced research assistants who were trained in research ethics and fluent in the local language of each community. Participants were compensated for their time and travel costs with 7000 UGX (\$1.85 USD at the time of data collection) and 3000 UGX (\$0.79 USD at the time of data collection), respectively.

### 2.2.1. Depression

Depression refers to persistent feelings of sadness affecting one's thoughts, actions, and behaviors. Depressive symptoms were measured using the 9-item PHQ-9 with 4-point Likert-type responses (0 = not at all, 1 = several days, 2 = more than half the days, 3 = nearly every day, Table 1). The scale items reflect both somatic and non-somatic (cognitive) symptomatology as well as a single item measuring suicidal ideation, and were based on the version of the Diagnostic and Statistical Manual of Mental Disorders used at the time of the scale's development (i.e., DSM-IV) (American Psychiatric Association, 2000). A summed score was calculated from item responses, with higher scores indicating more depressive symptomatology (range = 0–27). For additional descriptive

purposes, PHQ-9 scores were categorized using previously established cutoff values (i.e., 0–4 = no depressive symptoms, 5–9 = mild depressive symptoms, 10 = moderate/severe depressive symptoms) (Kroenke et al., 2001).

### 2.2.2. Sociodemographics

Age, sex, level of education (i.e., no formal schooling through post-college apprenticeship), and frequency of alcohol use (past 12 months) were collected as self-reported measures. District (i.e., Wakiso or Hoima) and community type (i.e., urban, peri-urban or rural) were determined by household location. HIV serostatus was determined through HIV testing (Determine™ HIV-1/2 Strip, Abbott Laboratories), followed by a confirmatory test using an HIV 1/2 STAT-PAK® Assay (Chembio Laboratories) for positive cases, and an SD Bionline HIV-1/2 3.0 test (Abbott Laboratories) if the results from the first two tests were inconsistent.

## 2.3. Statistical analysis

### 2.3.1. Sample characteristics

Descriptive statistics were calculated for the overall sample and stratified by translated language (i.e., Luganda-speaking individuals in Wakiso and Runyoro-speaking individuals in Hoima). Means and medians were used for continuous variables and statistical comparisons between participants from the two districts were estimated using two-sided t-tests with unequal variances or Wilcoxon rank-sum test for independent samples. Frequencies were used to describe categorical variables and likelihood-ratio Chi-squared tests were used for statistical comparisons between the two subsamples.

### 2.3.2. Construct validity

Confirmatory factor analysis (CFA) was used to examine the underlying dimensions explaining the relationships between the items in each translated PHQ-9 scale. CFA for ordinal data (Li, 2016; Rhemtulla et al., 2012) was conducted using the cfa function in the lavaan package in R

(Rosseel, 2012). We assessed model fit using robust estimators of the Root Mean Square Error of Approximation (RMSEA), Comparative Fit Index (CFI), and Tucker-Lewis Index (TLI), with a RMSEA value less than 0.10, and CFI and TLI values greater than 0.90 suggesting an acceptable fit.

### 2.3.3. Internal consistency

We used three reliability coefficients (i.e., Cronbach's alpha, McDonald's omega total, and McDonald's omega hierarchical) to provide a more comprehensive assessment of the internal consistency of each translated scale using the omega function in the psych package in R (Revelle, 2019). Assessing the scale's Cronbach's alpha is beneficial as it is frequently implemented and allows for comparison with other reported findings. However, Cronbach's alpha has some limitations (McNeish, 2018; Sijtsma, 2009) and has been shown to underestimate (Graham, 2006) or overestimate (Streiner, 2003) internal consistency in certain scenarios. As such, we also assessed McDonald's omega total and omega hierarchical, which are useful alternative indicators of reliability that overcome some of the limitations of Cronbach's alpha (Revelle and Zinbarg, 2008; Zinbarg et al., 2005).

All analyses were performed in R Studio (Version 3.6.1) or STATA SE (Version 16.1).

## 3. Results

### 3.1. Sample characteristics

In the overall sample ( $N = 2969$ ), participants were on average 23 years old (standard deviation [SD] = 13.91), approximately half were female (57%), 53% reported at least secondary education, approximately half lived in an urban area (52%), most reported never drinking alcohol in the past 12 months (71%), and 7% were HIV positive (Table 2).

Compared to the Runyoro-speaking subsample from Hoima ( $n = 2297$ ), participants in the Luganda-speaking subsample from Wakiso ( $n = 672$ ) were slightly older (aged 27 years versus aged 21 years,  $p < 0.01$ ), a greater proportion were female (62% versus 55%,  $p < 0.01$ ), all were sampled in an urban setting (100% versus 38%,  $p < 0.01$ ), and a greater proportion reported never drinking alcohol in the past 12 months (77% versus 69%,  $p < 0.01$ , Table 2).

(Rosseel, 2012). We assessed model fit using robust estimators of the Root Mean Square Error of Approximation (RMSEA), Comparative Fit Index (CFI), and Tucker-Lewis Index (TLI), with a RMSEA value less than 0.10, and CFI and TLI values greater than 0.90 suggesting an acceptable fit.

### 3.2. Psychometric findings for the Luganda-translated PHQ-9

Participants who completed the Luganda-translated PHQ-9 had an overall sample mean of 3.46 (SD = 3.26, Table 2). In terms of item-level characteristics, Items 4 (i.e., "feeling tired or having little energy") and 9 (i.e., "thoughts that you would be better off dead or of hurting yourself in some way") had the highest and lowest item-level averages, respectively (Table 1). Across items, the magnitude of standard errors increased across increasing threshold parameters (e.g., Item 9 with a standard error of 0.08 for the threshold between response options 0 and 1 compared to a standard error of 0.20 for the threshold between more severe response options 2 and 3, Table 3). Over 80% of the sample responded with the lowest response option ("not at all") for Items 6 through 9 (i.e., "feeling bad about yourself," "trouble concentrating on things," "moving or speaking slowly," "thoughts that you might be better off dead," Supplementary Table 1). Of the remaining response options, the response option indicating the most severe depressive symptomatology (i.e., "nearly every day") was selected by less than 5% of the sample across any of the nine scale items.

CFA of the PHQ-9 translated in Luganda indicated that a one-factor structure was a reasonable fit for the data. The factor loadings from the nine scale items ranged from 0.45 to 0.76 (Table 3) and the robust RMSEA, CFI, and TLI values were 0.05, 0.96, and 0.94, respectively. In terms of internal consistency, the PHQ-9 translated in Luganda had a Cronbach's alpha, McDonald's omega total, and omega hierarchical of 0.73, 0.76, and 0.53, respectively.

**Table 2**

Descriptive characteristics of study sample overall and by language (district),  $n$  (%).

	Total N = 2969	Luganda (Wakiso) n = 672	Runyoro (Hoima) n = 2297	P-value <sup>a</sup>
Depression <sup>b</sup>				
Mean (SD), range 0–27	3.55 (3.06)	3.46 (3.26)	3.58 (3.00)	0.39
Median (IQR)	3 (1–5)	3 (1–5)	3 (1–5)	0.08
Depression categories <sup>c</sup>				
No symptoms	2040 (68.71)	476 (70.83)	1564 (68.09)	0.29
Mild symptoms	790 (26.61)	163 (24.26)	627 (27.30)	
Moderate/severe symptoms	139 (4.68)	33 (4.91)	106 (4.61)	
Sociodemographics				
Age, mean (SD)	22.54 (13.91)	27.33 (11.05)	21.13 (14.34)	<0.01
Female	1683 (56.69)	415 (61.76)	1268 (55.20)	<0.01
Education Level				
None or Primary	1385 (46.65)	242 (36.01)	1143 (49.76)	<0.01
Secondary or higher	1584 (53.35)	430 (63.99)	1154 (50.24)	
Community Type				
Urban	1555 (52.37)	672 (100.00)	883 (38.44)	<0.01
Peri-urban	533 (17.95)	0	533 (23.20)	
Rural	881 (29.67)	0	881 (38.35)	
Average frequency of alcohol use (past 12 mo)				
Never	2098 (70.69)	518 (77.20)	1580 (68.79)	<0.01
Daily	177 (5.96)	25 (3.73)	152 (6.62)	
Weekly	372 (12.53)	52 (7.75)	320 (13.93)	
Monthly	262 (8.83)	45 (6.71)	217 (9.45)	
Special Occasions (e.g., weddings, funerals)	59 (1.99)	31 (4.62)	28 (1.22)	
HIV serostatus				
Positive	210 (7.11)	47 (7.09)	163 (7.11)	0.98
Negative	2744 (92.89)	616 (92.91)	2128 (92.89)	

Note: Percentages may not sum to 100 due to rounding. Abbreviations: IQR = interquartile range, SD = standard deviation.

<sup>a</sup>Calculated using two-sided  $t$ -test with unequal variances or Wilcoxon rank-sum test for independent samples for continuous variables. Likelihood-ratio chi-square tests used for categorical variables.

<sup>b</sup>Scored using the Patient Health Questionnaire-9 (PHQ-9).

<sup>c</sup>PHQ-9 depression categories as published by Kroenke et al. (2001), where 0–4 = no symptoms, 5–9 = mild symptoms, and 10–27 = moderate/severe symptoms.

**Table 3**  
Factor loadings and item parameters for the Luganda- and Runyoro-translated Personal Health Questionnaire-9 (PHQ-9) scales.

Brief Item Description	$\lambda$	$b_1$ ( $SE_1$ )	$b_2$ ( $SE_2$ )	$b_3$ ( $SE_3$ )
<b>Luganda-translated PHQ-9</b>				
1 Little interest or pleasure in doing things	0.63	0.23 (0.05)	1.39 (0.07)	1.88 (0.10)
2 Feeling down, depressed, or hopeless	0.73	0.25 (0.05)	1.36 (0.07)	1.88 (0.10)
3 Trouble falling or staying asleep	0.47	0.39 (0.05)	1.24 (0.07)	1.65 (0.08)
4 Feeling tired or having little energy	0.45	-0.24 (0.05)	1.17 (0.06)	1.65 (0.08)
5 Poor appetite or overeating	0.47	0.40 (0.05)	1.33 (0.07)	1.77 (0.09)
6 Feeling bad about yourself	0.76	0.94 (0.06)	1.67 (0.08)	2.01 (0.11)
7 Trouble concentrating on things	0.54	1.00 (0.06)	1.84 (0.09)	2.22 (0.13)
8 Moving or speaking slowly	0.60	1.15 (0.06)	1.91 (0.10)	2.22 (0.13)
9 Thoughts that you would be better off dead	0.73	1.67 (0.08)	2.37 (0.15)	2.62 (0.20)
<b>Runyoro-translated PHQ-9</b>				
1 Little interest or pleasure in doing things	0.56	0.25 (0.03)	1.61 (0.04)	2.13 (0.07)
2 Feeling down, depressed, or hopeless	0.73	0.48 (0.03)	1.59 (0.04)	2.22 (0.07)
3 Trouble falling or staying asleep	0.58	0.08 (0.03)	1.44 (0.04)	1.91 (0.05)
4 Feeling tired or having little energy	0.45	-0.38 (0.03)	1.28 (0.04)	1.86 (0.05)
5 Poor appetite or overeating	0.54	0.17 (0.03)	1.43 (0.04)	2.04 (0.06)
6 Feeling bad about yourself	0.67	0.88 (0.03)	1.96 (0.06)	2.59 (0.10)
7 Trouble concentrating on things	0.58	0.71 (0.03)	1.57 (0.04)	2.20 (0.07)
8 Moving or speaking slowly	0.64	0.89 (0.03)	1.93 (0.06)	2.38 (0.08)
9 Thoughts that you would be better off dead	0.67	1.42 (0.04)	2.33 (0.08)	3.13 (0.21)

Abbreviations:  $b$  = severity threshold (e.g.,  $b_1$  indicating the threshold between response options “not at all” [Response Option 0] and “several days” [Response Option 1]),  $SE$  = standard error,  $\lambda$  = factor loading.

### 3.3. Psychometric findings for the Runyoro-translated PHQ-9

Participants who completed the Runyoro-translated PHQ-9 had an overall sample mean of 3.58 ( $SD = 3.00$ , Table 2). In terms of item-specific characteristics, Items 4 (“feeling tired”) and 9 (i.e., “thoughts that you would be better off dead”) also had the highest and lowest item-level averages, respectively (Table 1). Across all nine items, the magnitude of standard errors increased across increasing threshold parameters (e.g., Item 9 with a standard error of 0.04 for the threshold between response options 0 and 1 compared to a standard error of 0.21 for the threshold between more severe response options 2 and 3, Table 3). Over 80% of the sample responded with the lowest response option (“not at all”) for Items 6, 8, and 9 (i.e., “feeling bad about yourself,” “moving or speaking slowly,” and “thoughts that you might be better off dead,” Supplementary Table 1). Of the remaining response options, the response option indicating the most severe depressive symptomatology (i.e., “nearly every day”) was selected by 3% or less of the sample across any of the scale items.

CFA of the PHQ-9 translated in Runyoro also indicated that a single-factor structure was a reasonable fit for the data. The factor loadings from the nine scale items ranged from 0.45 to 0.73 (Table 3) and the robust RMSEA, CFI, and TLI values were 0.08, 0.92, and 0.90, respectively. In terms of the internal consistency coefficients, the PHQ-9 translated in Runyoro had a Cronbach's alpha, McDonald's omega total, and omega hierarchical of 0.72, 0.76, and 0.57, respectively.

## 4. Discussion

Our study evaluated certain psychometric properties of the PHQ-9 in Luganda- and Runyoro-speaking populations in Uganda. We evaluated the prevalence of depressive symptoms in our samples, assessed construct validity through CFA, and examined the internal consistency of the translated PHQ-9 scales in both samples. This is the first psychometric analysis of the PHQ-9 in Runyoro and provides valuable insight into the performance of this measure in a widely spoken language in Uganda. Furthermore, our work adds to the limited evidence regarding the performance of the PHQ-9 in Luganda, which is the most widely spoken language in Uganda.

The PHQ-9 means observed in our Luganda- and Runyoro-speaking samples were comparable to those reported in studies conducted in Uganda (Wagner et al., 2017), Kenya (Mwangi et al., 2020), Ethiopia

(Degefa et al., 2020), and Ghana (van Leijden et al., 2018), but were lower than those reported in other studies conducted in SSA (Ortblad et al., 2020; Sebera et al., 2020a; Monahan et al., 2009; Omoro et al., 2006; Romo et al., 2019). We also observed that the proportion of our sample that would be classified as moderately or severely depressed was comparable to that reported in another study conducted among a sample representative of rural communities in Uganda (Ssebunnya et al., 2019). These consistent findings were expected given that our study populations were sampled to represent the overall populations in the districts of Wakiso and Hoima, although they should be considered preliminary and interpreted with caution given that evaluating appropriate depression cut-off scores was beyond the scope of this analysis. The observed response option frequencies were also expected, with a larger proportion of participants endorsing lower severity depression items. These findings suggest that the lowest item thresholds were estimated well in our samples, which is beneficial considering that it is important for psychometric studies to examine the spectrum of depression and provide information regarding different regions of that continuum. However, as individuals with severe levels of depression were not well represented in our sample, we were unable to adequately estimate the performance of items measuring severe depressive symptoms (i.e., Items 7–9). The notable increase in standard errors across increasing threshold parameters suggests that while lower depression severity was well estimated in our sample, future studies should evaluate the performance of the translated PHQ-9 scales in samples expected to have higher depression severity and suicidal ideation (e.g., patients in clinical psychiatric settings). It is also possible that certain mental health topics (such as suicide) are highly stigmatized in Uganda (Ovuga et al., 2005) and it may be more useful to use idioms in each language that target suicidal ideation but in terms that may be less stigmatizing and more culturally appropriate. Kaiser et al.'s systematic review of the meaning of the widely used idiom “thinking too much” underscores the heterogeneity of assigned meaning and the underlining constructs that a turn of phrase can have, which emphasizes the importance of centering questions and word choice in cultural contexts (Kaiser et al., 2015). Suicidality has been captured in the Luganda-translated MINI among PLWH in Uganda, suggesting that severe depression can be measured in this population using translated and adapted measures (Petrushkin et al., 2018; Kinyanda et al., 2012). While the MINI in its original English form uses explicit questions regarding suicidality (similar to Item 9 of the PHQ-9), neither study described their translation process nor provided the translated

items. Future work should examine whether the Luganda-translation of the suicidality item in the MINI was perhaps culturally adapted to improve performance in ways that can be applied to the PHQ-9 in this setting.

Our CFA findings indicate that the translated PHQ-9 scales had good construct validity with one underlying depression construct in each sample. Our findings are comparable to other studies in Kenya (Monahan et al., 2009; Mwangi et al., 2020), Botswana (Molebatsi et al., 2020), Ethiopia (Gelaye et al., 2013), Rwanda (Sebera et al., 2020b), and South Africa (Kigozi, 2020) that examined the factor structure of the PHQ-9 in different languages and found that the scale had a single-factor structure in those settings. Furthermore, the range of factor loadings observed in our samples were comparable to those reported in studies in Kenya (Monahan et al., 2009) and South Africa (Kigozi, 2020). This suggests that the translated scales performed as designed in two widely spoken languages in Uganda.

In terms of internal consistency, the Cronbach's alpha coefficient observed in our sample was comparable to Cronbach's alpha estimates reported in studies in South Africa (Bhana et al., 2015), Kenya (Monahan et al., 2009), Ethiopia (Degefa et al., 2020), as well as Uganda and Zambia (Ortblad et al., 2020). Compared to these studies, we expanded our assessment of internal consistency by examining alternative measures of reliability. The observed McDonald's omega hierarchical coefficient indicated that while a large proportion of the total variance in our sample was due to one primary factor, some of the variability observed across items was due to other factors unrelated to the depression construct. The source of this variability could be due to numerous factors, including translational and cultural issues affecting the meaning and interpretation of items. The reliability of the translated PHQ-9 scales should be further explored in future work (e.g., differential item functioning, inter-rater reliability, test-retest reliability).

Our study has several limitations. First, the one-on-one interviews may have introduced social desirability bias if participants under-reported their depressive symptoms in the presence of the interviewer. To mitigate this source of bias, interviewers received training pertaining to mental health and how to foster a non-judgmental environment during survey administration. Second, data collection in Wakiso was only performed in an urban community, limiting the generalizability of our findings to peri-urban and rural communities in the district. Future data from the APHS study should be used to replicate our findings in a Wakiso sample with more variation in community type. Third, while the mean depression scores that we observed are consistent with the prevalence of depression in comparable general populations, we were unable to comprehensively examine the performance of the items pertaining to more severe depression symptomology because there were not enough individuals in our sample who endorsed these items (e.g., Item 9). Future validation studies should assess these more severe depression items in samples with higher expected depression symptomology (e.g., psychiatric samples or highly stigmatized populations with greater mental disorder burden). Fourth, considering that scale validation was not the primary aim of the APHS Study, other aspects of the validity and reliability of the translated PHQ-9 scales (e.g., convergent validity, concurrent validity, test-retest reliability) could not be evaluated in this sample because those data were not collected. Furthermore, both of our samples consisted of predominantly younger individuals with only 35 and 116 individuals aged 50 years or older in the Runyoro- and Luganda-speaking

samples, respectively. We were unable to examine construct validity across age categories given these sample size limitations, and this should be explored in future research. Despite these limitations, our preliminary findings provide important information pertaining to the construct validity and internal consistency of the Luganda- and Runyoro-translated PHQ-9, an important step towards obtaining accurate estimates of the disease burden of depression among Luganda and Runyoro speaking Ugandans. Four percent of Uganda's healthcare budget is allocated to mental health services (Ssebunnya et al., 2010), and although Uganda's healthcare delivery is generally decentralized, the bulk of mental health resources are allocated to the national referral hospital in Kampala (Ssebunnya et al., 2018). Accurate estimates of the prevalence of depression in the general population can inform both the need to increase the proportion of the healthcare budget allocated to mental health services as well as where (i.e., which clinical facilities and communities) mental health care service scale-up is most needed. Future studies should expand upon our work and evaluate other important psychometric properties of these scales in Luganda and Runyoro, including identifying optimum cut-off scores for probable depression and referral for care.

## 5. Conclusions

Accurate estimates of the burden of disease attributable to depression in Uganda are critical to resource allocation and the scale up of mental health services. In Uganda and SSA more broadly, mental health services are limited with small proportions of the overall public health budget set aside for mental health service delivery. Accurately quantifying the burden of depression can inform and facilitate re-allocation of funds to meet the public health need. The present study provides important preliminary information regarding the performance of the PHQ-9 in two widely spoken languages in Uganda, including one language (Runyoro) in which the measure had not been previously translated. We provide estimates for the prevalence of depressive symptomology in a generalizable community sample and describe the construct validity and internal consistency of the PHQ-9 in two languages. The preliminary findings regarding construct validity suggest that the Luganda- and Runyoro-translated PHQ-9 may be good measures for depression in comparable samples of Ugandans. Future work should assess different aspects of the validity of the translated PHQ-9 scales in this setting, which can be facilitated by including additional measures (e.g., other depression or related mental health measures) in future rounds of data collection. These future efforts could establish PHQ-9 translations that are culturally appropriate and allow for precise, real-time screening of depression and referral for treatment. Psychometric assessment of these translated scales is also needed in populations with higher levels of depression to assess how the items measuring severe depressive symptoms perform in this setting.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.psycom.2021.100002>.

**Supplementary Table 1**

Item-level frequencies of the Luganda- and Runyoro-translated Personal Health Questionnaire-9 (PHQ-9)

Brief Item Description	Response Options <sup>a</sup>			
	0	1	2	3
<i>Luganda</i>				
1 Little interest or pleasure in doing things	397 (59.08)	220 (32.74)	35 (5.21)	20 (2.98)
2 Feeling down, depressed, or hopeless	402 (59.82)	212 (31.55)	38 (5.65)	20 (2.98)
3 Trouble falling or staying asleep	439 (65.33)	161 (23.96)	39 (5.80)	33 (4.91)
4 Feeling tired or having little energy	273 (40.63)	317 (47.17)	49 (7.29)	33 (4.91)
5 Poor appetite or overeating	441 (65.63)	169 (25.15)	36 (5.36)	26 (3.87)
6 Feeling bad about yourself	555 (82.59)	85 (12.65)	17 (2.53)	15 (2.23)
7 Trouble concentrating on things	566 (84.23)	84 (12.50)	13 (1.93)	9 (1.34)
8 Moving or speaking slowly	588 (87.50)	65 (9.67)	10 (1.49)	9 (1.34)
9 Thoughts that you would be better off dead	640 (95.24)	26 (3.87)	3 (0.45)	3 (0.45)
<i>Runyoro</i>				
1 Little interest or pleasure in doing things	1372 (59.73)	802 (34.92)	85 (3.70)	38 (1.65)
2 Feeling down, depressed, or hopeless	1572 (68.44)	597 (25.99)	98 (4.27)	30 (1.31)
3 Trouble falling or staying asleep	1221 (53.16)	904 (39.36)	108 (4.70)	64 (2.79)
4 Feeling tired or having little energy	812 (35.35)	1254 (54.59)	159 (6.92)	72 (3.13)
5 Poor appetite or overeating	1300 (56.60)	823 (35.83)	126 (5.49)	48 (2.09)
6 Feeling bad about yourself	1862 (81.06)	378 (16.46)	46 (2.00)	11 (0.48)
7 Trouble concentrating on things	1751 (76.23)	411 (17.89)	103 (4.48)	32 (1.39)
8 Moving or speaking slowly	1870 (81.41)	366 (15.93)	41 (1.78)	20 (0.87)
9 Thoughts that you would be better off dead	2118 (92.21)	156 (6.79)	21 (0.91)	2 (0.09)

Note: Percentages may not sum to 100 due to rounding.

<sup>a</sup> Response options in English: 0 = not at all, 1 = several days, 2 = more than half the days, 3 = nearly every day.**Author statement**

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