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## Safety and Efficacy of HIV Hyperimmune Globulin (HIVIGLOB) for Prevention of Mother-to-Child HIV Transmission in HIV-1 infected Pregnant Women and their Infants in Kampala, Uganda (HIVIGLOB/NVP STUDY)

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### Abstract

**Background**—This phase III randomized clinical trial compared single dose nevirapine (sdNVP) plus HIV immunoglobulin (HIVIGLOB) to sdNVP alone for preventing maternal-to-child transmission (PMTCT) of HIV.

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**CONFLICT OF INTEREST STATEMENT** J. Brooks Jackson as Principal Investigator for the trial has full access to all the data and has final responsibility for the decision to submit for publication. None of the authors have any conflicts of interest related to the conduct or reporting of this study.

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Primary objectives were to determine rates of HIV infection among infants, and to assess the safety of HIVIGLOB in combination with sdNVP in HIV-infected Ugandan pregnant women and their infants.

**Methods**—Mother-infant pairs were randomized to receive 200mg of NVP to women in labor and 2mg/kg NVP to newborns within 72 hours after birth (sdNVP arm) or to receive sdNVP plus a single intravenous 240ml dose of HIVIGLOB given to women at 36-38 weeks gestation and a single intravenous 24ml dose to newborns within 18 hours of birth (HIVIGLOB/sdNVP arm). Risk of HIV infection was determined using Kaplan-Meier and risk ratio estimates at birth, 2, 6, 14 weeks, 6 and 12 months of age.

**Results**—Intent-to-treat analysis included 198 HIVIGLOB/sdNVP and 294 sdNVP mother-infant pairs. At 6 months of age, the primary endpoint, there was no statistically significant difference in HIV transmission in the HIVIGLOB/sdNVP arm versus the sdNVP arm (18.7% vs. 15.0%; RR =1.240 [95% CI: 0.833-1.846]; p= 0.290). Similarly, the proportion of serious adverse events in the HIVIGLOB/sdNVP and sdNVP arms, respectively for mothers (18.9% vs. 19.3%; p= 0.91) and infants (62.6% vs. 59.5%; p=0.51), were not significantly different.

**Conclusion**—Giving mother-infant pairs an infusion of peripartum HIV hyperimmunoglobulin in addition to sdNVP for PMTCT was as safe as sdNVP alone, but was no more effective than sdNVP alone in preventing HIV transmission.

### Keywords

HIV; HIVIGLOB; sdNVP; breastfeeding; PMTCT; Uganda

## INTRODUCTION

The majority of pediatric human immunodeficiency virus type 1 (HIV) infections are due to maternal-to-child transmission (MTCT). Globally, about 370,000 children became HIV-infected in 2009 with more than 90% living in sub-Saharan Africa [1]. Vertical transmission may occur in utero, during parturition or via breastfeeding. Without intervention, rates of MTCT of HIV are estimated to range from 25% to 48% in resource poor settings where breastfeeding is common [2].

The majority of breast milk transmission occurs during the first 6 weeks of life with an estimated absolute cumulative risk of 14-16%, with 63% occurring by 6 weeks and 75% occurring by 6 months, based on trial data from Kenya [3]. Analyses from the SAINT study in South Africa showed high rates of early transmission at age 8 weeks for formula-fed versus breastfed infants [4]. Data from Malawi show a monthly hazard rate of 0.7% from 1-5 months post partum, 0.6% from 6-11 months post partum and 0.3% from 12-17 months post partum [5]. These data indicate an increased rate of early transmission, followed by a lower but ongoing risk of transmission associated with prolonged breastfeeding.

Given the protective effects of breastfeeding on overall infant survival, it is critical to test interventions that can target both early and later breast milk transmission. Extensive trials of short course antiretroviral (ARV) therapy to prevent MTCT of HIV have been shown to reduce in utero and intrapartum transmission of HIV-1 in resource limited settings [4, 6-9]. Although the ARV regimens were effective in reducing HIV transmission, these benefits diminished over time with continued breastfeeding and showed the need to supplement short course regimens to prevent MTCT of HIV [7, 10, 11].

Several studies, both observational and clinical trials, suggest that use of either maternal triple ARV prophylaxis [12-17] during the breastfeeding period or extended infant prophylaxis [18-22] are promising public health interventions that decrease the risk of HIV

transmission among HIV-infected breastfeeding women who do not yet require treatment for their own health. The World Health Organization now recommends use of either one of the two approaches [23], however the benefits and relative risks of these two strategies have not been directly compared.

A combined approach using both perinatal ARVs and immune globulin interventions has also been proposed [24]. This approach would take advantage of host immunity and potent drugs that attack the HIV life cycle during the high risk period shortly after delivery. In Uganda, a passive immunoprophylaxis perinatal phase I/II trial was conducted to assess the safety, tolerance, pharmacokinetics and virologic and immunologic changes associated with the use of Ugandan HIV hyperimmune globulin (HIVIGLOB) in HIV-infected pregnant Ugandan women and their infants [25]. The product was prepared by collecting whole blood units from asymptomatic Ugandan HIV-1 antibody positive blood donors with CD4 cell counts greater than 500 cells/uL. Plasma was separated and shipped frozen to the Swedish Institute for Infectious Disease Control in Stockholm, Sweden for fractionation into intravenous HIV-1 hyperimmune globulin rendered noninfectious for HIV-1. The product was found to have a 10-35 fold reduction in infectivity against a number of different primary subtype isolates, including three subtype B, one C, one E, and two Ugandan A isolates [25].

This phase III randomized trial was undertaken to assess whether this same HIVIGLOB product plus sdNVP (HIVIGLOB/sdNVP) given to mothers and infants would provide additional benefit over sdNVP alone for prevention of peripartum HIV transmission. The second objective of the study was to assess the safety and tolerance of HIVIGLOB/sdNVP compared with sdNVP alone.

## METHODS

### Study Population

HIV-seropositive pregnant women who presented for antenatal care were recruited from Mulago National Referral Hospital PMTCT program in Kampala, Uganda from July 2004 to May 2006. These women were offered the local standard of care for PMTCT of HIV (primarily sdNVP) and provided infant feeding counseling consistent with Uganda's Ministry of Health guidelines at the time of the study [26, 27]. Women who chose to breastfeed were offered study participation if they met other eligibility criteria.

### Eligibility Criteria

Inclusion criteria included documentation of HIV-1 infection by Western blot, pregnancy of 32-36 weeks gestation, age  $\geq 18$  years, intention to breastfeed, hemoglobin  $\geq 7.5$  g/dl, creatinine  $< 1.5$  mg/dl, SGPT  $< 5$  times upper limit of normal and provision of informed consent. All live born infants of enrolled women were eligible for inclusion in the trial.

### Study Design

The HIVIGLOB/NVP study was a phase III randomized, three arm, partially blinded clinical trial that compared the efficacy of either HIVIGLOB/sdNVP or extended daily infant NVP dosing until 6 weeks of age to the sdNVP regimen alone (i.e. maternal sdNVP at labor onset, newborn sdNVP within 72 hours of birth followed by 6 weeks of daily multivitamins as study drug placebo) for the prevention of perinatal and breast milk associated HIV transmission. Only results from the analysis of the efficacy of the addition of HIVIGLOB to the sdNVP regimen given to HIV infected pregnant women and their infants compared to the sdNVP regimen alone are presented here. Details of the evaluation of the efficacy of the extended infant NVP regimen including merged results from similar trials in India and Ethiopia are reported elsewhere [20, 21].

## Randomization

At enrollment participants were given a study-specific identification number that was linked to a pre-assigned randomization arm known only by the study pharmacist. A computer-generated block randomization scheme created the treatment allocation for the trial. As part of the larger phase III trial, the original design was to enroll 300 mother-infant pairs into the sdNVP arm, 300 pairs into the extended daily infant NVP arm and 200 pairs into the HIVIGLOB/sdNVP arm allowing for a 3: 3: 2 ratio. Changes to the enrollment numbers were subsequently made following a recommendation by the data and safety monitoring board (DSMB) to merge data from Uganda, Ethiopia and India to allow for rapid accrual to answer the question on efficacy of extended infant NVP. The remaining participants in the Uganda trial were re-randomized into a ratio of 5: 4: 1 to allow for complete and timely enrollment into all arms and to ensure sufficient numbers of participants randomized to the sdNVP and HIVIGLOB/sdNVP arms.

## Study Drug Regimens

All women in the HIVIGLOB/sdNVP arm and the sdNVP only arm were given 200mg of NVP to take at the onset of labor; and all newborns were given NVP syrup (2mg/kg) within 7 days of birth. In the HIVIGLOB/sdNVP arm, women also received a single intravenous (IV) infusion of 240ml (approximately 200mg/kg) of HIVIGLOB at 36-38 weeks gestation. Infants born to these mothers received a single IV infusion of 24ml (approximately 400mg/kg) of HIVIGLOB preferably within 18 hours of birth but could receive the dose up to 6 weeks of age if the baby was not well enough to receive the infusion earlier, if delivered outside of Mulago Hospital or for any other delay in availability of the infant. The infant infusion was given via peripheral vein using an auto-syringe in the Mulago Hospital special care unit with continuous monitoring. The 200 mg/kg dosage for the mothers was based on the dosage chosen for a US perinatal trial (PACTG 185) and the 400mg/kg dosage for the infants was based on findings from the phase I/II study [24, 25].

## Study Follow-up and Procedures

Medical history, physical examination and routine laboratory tests were done for women at 36 weeks of gestation, labor and delivery, and 2 and 6 weeks post partum. For infants, evaluations were conducted at birth, weekly for the first 6 weeks, then at weeks 10 and 14, and at 6, 12 and 18 months. The HIVIGLOB/NVP trial was originally designed to follow-up infants through 18 months of age, but funding constraints necessitated early closure with follow-up reduced to 12 months of age for approximately 100 children who had not yet completed their 18 month final visit. Counseling on infant feeding was conducted at every scheduled visit. All infants received co-trimoxazole (CTX) prophylaxis against pneumocystis jirovecii pneumonia from 6 weeks of age until they were determined to be HIV-uninfected after breastfeeding cessation. Children identified as being HIV-infected continued with CTX prophylaxis. All women and any infants with confirmed HIV infection were referred to HIV clinics for care and treatment.

## Study Endpoints

The primary endpoint was HIV infection status at 6 months of age among live-born infants but also included the rate of infection at birth, 2 weeks, 6 weeks, 14 weeks, 6 months, 12 months, and 18 months of age, regardless of HIV infection status at birth. Infant HIV infection status was determined using the Roche Amplicor Version 1.5 qualitative DNA PCR assay or quantitative HIV-1 RNA PCR assay (Roche Diagnostics Corporation, Indiana, USA). A positive diagnosis was based on two independent positive PCR tests at different time points or if one test was positive and there was no subsequent sample available. HIV RNA PCR tests were considered positive if viral load was over 5,000 copies per mL based

on a modification of the Pediatric ACTG definition for infant HIV diagnosis, generally requiring at least 10,000 HIV RNA copies per mL to be deemed positive [28]. Infants who died or were lost to follow-up after only one positive PCR or antibody test were classified as infected. Secondary endpoints included death as well as HIV transmission or death at 2, 6 and 14 weeks and 6, 12, and 18 months. Safety was assessed by evaluation and grading of adverse events (AEs) according to the Division of AIDS (DAIDS) Toxicity Tables for Grading Severity of Adverse Experiences, April 1994. AEs in mothers were documented from enrollment to 8 weeks post partum; among infants, AEs were collected from birth through 14 weeks of age, and thereafter only serious AEs (SAEs) were documented.

### Statistical Analysis

Only the HIVIGLOB/sdNVP efficacy component of this trial with follow-up to 12 months is presented. Intent-to-treat analysis (primary analysis) included all live-born infants with an evaluable infection status at 6 months. Risk of HIV infection and/or death was determined using Kaplan-Meier and risk ratio (RR) estimates and the z-test was used to compute p values for the risk ratios. In the analysis of HIV transmission, infants were censored at the time of study termination, last HIV determination, or death. A similar approach was used for the endpoints involving death and HIV transmission or death (complement of infection-free survival). The secondary modified intent-to-treat analysis excluded infants HIV positive at birth (infants with unknown birth status were included in the modified intent-to-treat analysis). AEs were compared across the two arms using an exact test. Statistical analyses were performed using Stata 10 [29] and statistical significance of comparisons and associations was evaluated at  $\alpha=0.05$ . The DSMB provided ongoing and interval review of efficacy and safety data from this study.

### Ethical Considerations

The study was approved by the national AIDS Research Committee in Kampala, Uganda and Western Institutional Review Board in Olympia, Washington, USA.

## RESULTS

722 women were enrolled into the HIVIGLOB/NVP trial of which 228 were assigned to the six week extended NVP (SWEN) arm [20]. Of the remaining 494 women, 204 were randomly assigned to the HIVIGLOB/sdNVP arm and 290 were assigned to the sdNVP arm (Figure 1). Of the 204 women randomized to the HIVIGLOB/sdNVP arm, only 173 (85%) women received the HIVIGLOB infusion. Twenty six women delivered prior to or missed their HIVIGLOB infusion date; 3 withdrew from the study prior to infusion; 1 had an intrauterine fetal death diagnosed at her infusion appointment and 1 was diagnosed with severe malaria, preventing infusion. One participant assigned to the sdNVP arm withdrew from participation prior to delivery.

There were 199 live births (including twins) and 5 stillbirths in the HIVIGLOB/sdNVP arm, whereas 297 live births (including twins) and 2 stillbirths were delivered to women randomized to the sdNVP arm. Four infants did not receive their HIVIGLOB infusion; two early neonatal deaths and two maternal refusals. Analysis included 198 infants in the HIVIGLOB/sdNVP arm and 294 infants in the sdNVP arm who had an evaluable infection status at 6 months.

Maternal characteristics including age, proportion of Caesarean section deliveries, proportion that received sdNVP at onset of labor, maternal CD4 cell counts and viral load were similar in the two groups (Table 1). Infant characteristics including gender, proportion

receiving sdNVP at birth and proportion breastfeeding at given time points did not differ significantly between the two study groups (Table 1).

### Frequency of breastfeeding

Reported breastfeeding was 100% at 1 week and 63-65% at 14 weeks in both study groups (Table 1). There was a substantial reduction in breastfeeding after 14 weeks, with proportions of any breastfeeding at 6 months of 33.1% in the HIVIGLOB/sdNVP arm and 31% in the sdNVP arm and ( $p=0.64$ ). Rates of exclusive breastfeeding among those still breastfeeding at 6 months were 41.7% in the HIVIGLOB/sdNVP arm and 37.2% in the sdNVP arm ( $p=0.59$ ). By 12 months, the proportion of babies receiving any breast milk was 13.1% in the HIVIGLOB/sdNVP arm and 9.9% in the sdNVP arm.

### Risk of HIV Transmission

There was a significant difference in the proportion of children with HIV infection detected at birth in the HIVIGLOB/sdNVP arm (9.1% - 18 infections) compared with the low rate found in the sdNVP control arm (4.1% - 12 infections), [RR = 2.3, 95% CI: 1.100- 4.500,  $p=0.030$ ] (Table 2, Figure 2). The difference persisted throughout the study period, however it was no longer statistically significant by the 6 week time point. The difference in the proportion of children with HIV infection at 6 months, the primary endpoint, was not statistically significant between the HIVIGLOB/sdNVP arm and the sdNVP arm (18.7% vs. 15.0%, RR = 1.240, 95% CI: 0.833 – 1.846,  $p=0.290$ ). Secondary analyses in the modified intent-to-treat population (infants uninfected at birth) showed that there were no statistically significant differences in the proportion of children with HIV infection between the two arms at any of the study time points (Table 2).

After adjusting for study arm, maternal baseline viral load (VL) of >100,000 copies/ml led to more than a fivefold overall increase in risk of transmission [HR = 5.360, 95% CI: 2.606-11.024,  $p=0.000$ ], while maternal baseline VL of 10,001 – 100,000 copies/ml led to more than a twofold overall increase in risk of transmission [HR = 2.451, 95% CI: 1.170 – 5.136,  $p=0.018$ ] when compared to maternal baseline VL of  $\leq 10,000$  copies/ml .

### Risk of Death

Two mothers died during the eight week study participation period. One death in the HIVIGLOB/sdNVP arm was due to advanced HIV disease associated with wasting, puerperal sepsis and gastroenteritis and the other death in the sdNVP arm was due to cryptococcal meningitis.

There were 28 infant deaths during the 12 month follow-up period: 14 in the HIVIGLOB/sdNVP arm and 14 in the sdNVP arm (Table 2). Risk of infant mortality in the HIVIGLOB/sdNVP arm was statistically similar to that in the sdNVP arm at all time points (Table 2, Figure 2). At 6 months, risk of mortality was 5.6% (HIVIGLOB/sdNVP arm) versus 3.4% (sdNVP arm) [RR= 1.630, 95% CI: 0.706-3.767,  $p=0.253$ ]. Reasons for death included respiratory conditions (10), gastroenteritis (6), other infections (3), malnutrition (2), sudden infant death syndrome (2), anemia (2) and other (3). These conditions were responsible for a similar proportion of deaths in both arms (data not shown). There was a significantly reduced overall risk of infant mortality among women with CD4 cell counts  $>350$  cells/mm<sup>3</sup> compared to those with CD4 cell counts  $<200$  cells/mm<sup>3</sup>, [HR = 0.405, 95% CI: 0.167-0.981,  $p=0.045$ ]. Overall risk of infant death was not different in women with CD4 cell counts 201- 350 cells/mm<sup>3</sup> compared with women who had lower CD4 cell counts [HR = 0.682, 95% CI: 0.247-1.884,  $p=0.461$ ]. Overall risk of infant death adjusted for study arm was not associated with maternal baseline VL.

Secondary modified intent-to-treat population showed that there were no statistically significant differences in the proportion of children who died at any of the study time points (Table 2).

### Risk of HIV Transmission or Death

The cumulative risk of infant HIV transmission or death was significantly lower in the sdNVP arm (9.9%) than in the HIVIGLOB/sdNVP arm (17.2%) at 2 weeks [RR = 1.834, 95% CI: 1.061 – 3.169,  $p=0.030$ ] (Table 2). A similar trend was observed at all the other time points (6 and 14 weeks, 6 and 12 months) however, the difference in risk at these time points was not significant (Figure 2). Secondary analyses for transmission or death showed no significant difference in risk at all time points (Table 2).

### Safety

The number of women experiencing serious adverse events (i.e. grade 3 and 4 AEs) was balanced between the two groups with at least one grade 3 or 4 AE reported in 38 (19.3%) in the HIVIGLOB/sdNVP arm and 54 (18.9%) women in the sdNVP arm, ( $p=0.91$ ). Complications of pregnancy and childbirth were the most frequent cause of maternal SAEs in both groups, followed by laboratory abnormalities. Fifty-six mothers had at least one AE considered related to the HIVIGLOB infusion and all involved vital sign changes common with immunoglobulin infusions, such as changes in blood pressure, heart rates, and respiratory rates. One woman had a grade 3 AE that was considered definitely related to HIVIGLOB that necessitated permanent discontinuation of the infusion. All other women who experienced an infusion related AE completed the infusion. All infusion related events resolved with no complications.

There were no differences in the number of infants experiencing at least one grade 3 or 4 SAE between the two arms, 124 (62.6%) in the HIVIGLOB/sdNVP arm and 175 (59.5%) in the sdNVP arm,  $p=0.51$ . The majority involved common illnesses in the study population such as malaria, pneumonia, gastroenteritis, and laboratory abnormalities. Fifteen infants had grade 3 events considered definitely or probably related to the HIVIGLOB infusion; 6 infusions were permanently discontinued, 4 were temporarily held then restarted, 4 were continued and 1 event occurred after completion of the infusion. All of these events resolved without complications. Fourteen infants had serious adverse events considered possibly related to the HIVIGLOB infusion. Eight events occurred during the infusion (1 permanent discontinuation, 2 temporarily held, 5 no change in infusion) and the remaining 6 occurred after the infusion was completed.

## DISCUSSION

This study assessed the relative safety and efficacy of an HIV hyperimmune globulin product combined with peripartum antiretrovirals compared to a peripartum antiretroviral strategy alone in HIV infected pregnant women and their breastfeeding infants for the prevention of peripartum transmission of HIV-1 infection. The proportions of breastfeeding infants were similar between the two arms throughout the duration of the study. The low rates of breastfeeding which were observed at 12 months reflect the recommendations from the Ugandan Ministry of Health PMTCT guidelines at the time which recommended that HIV infected women breastfeed for 3-6 months following delivery. This is reflected in the results which show that the proportion of breastfeeding infants was high at and prior to 14 weeks of age when the efficacy of the HIVIGLOB infusion was most likely to be effective.

The study demonstrated that there was no significant difference in HIV transmission rates at 6 months (primary endpoint) and 12 months of age in Ugandan mother – infant pairs who

each received a single infusion of HIVIGLOB in addition to the standard sdNVP regimen for PMTCT compared to the standard sdNVP regimen alone. While there was no demonstrable difference in treatment efficacy, the study also showed that there were no significant differences in mortality or serious AEs between the two arms. The majority of the infant deaths were due to infections common in children in Uganda and were unrelated to the receipt of the HIVIGLOB infusion.

Our trial findings do not support a role for use of HIVIGLOB to help reduce peripartum or early breast milk transmission of HIV-1 among breastfed HIV-1 exposed infants. These results are similar to those of the PACTG 185 trial of a hyperimmune product, HIVIG, to reduce peripartum transmission in a non-breastfeeding population in the United States (US), which failed to demonstrate efficacy due to the overall low rate of transmission in both study arms [24]. However, there was a trend toward decreased transmission in women with low CD4 count in the US study, which was not found in this study. It had been hypothesized that the addition of HIVIGLOB to sdNVP would be a promising intervention strategy for various reasons. The presence of antibodies to specific HIV-1 V3 loop peptides has been negatively correlated with vertical transmission in some earlier studies [30, 31]. Likewise, the presence of neutralizing antibodies in the serum of HIV-1 infected women appears to correlate with delivery of uninfected children [32]. It was hoped that preparation of HIVIGLOB from the plasma of multiple asymptomatic HIV-1 infected Ugandan blood donors would have the potential to provide both group specific and type specific neutralizing antibodies, gp120/CD4 blocking antibodies and V3 loop antibodies to the diverse strains of HIV-1 found in Uganda [33].

While there are no data at present to show what viral subtypes the women in the study had, it is possible that the HIVIGLOB product did not demonstrate broadly neutralizing antibody activity against Ugandan subtype isolates, particularly subtype D, which is the second most common subtype in the Ugandan population. Antibody responses are often very specific to the individual viral strain with which one is infected and may not have activity against other strains of viruses. It is also possible that the antibodies in the HIVIGLOB solution were able to neutralize the strains used in the laboratory but may have had less neutralizing activity against individual strains found in the mothers participating in the trial. It is also possible that the concentration of the HIVIGLOB antibodies present in cord blood, vaginal fluids or breast milk was not sufficient to inhibit virus at the different time points for viral transmission to infants. Giving the maternal dose of HIVIGLOB several weeks prior to delivery may have allowed selection of viral escape mutants such that subsequent administration of HIVIGLOB to the baby did not confer protection against maternal virus at delivery and during the early breastfeeding period. Passive immunization with a broadly reactive monoclonal antibody cocktail just to the infant may possibly be a better option in preventing early HIV transmission after birth.

Interpretation of the comparative efficacy of the HIVIGLOB product is complicated by the unanticipated low rate of HIV infection at birth in the sdNVP control arm in this study. At 4.1%, the sdNVP birth infection rate was significantly lower than the HIVIGLOB/sdNVP arm (9.1%) and the birth transmission rates seen with the same sdNVP regimen in the third arm of this trial (9.6%) and the HIVNET 012 study at the same trial site (8.1%) [6, 20]. There were no identifiable differences in the characteristics of the mothers or infants across the study arms to account for this unbalanced infection rate at birth. Kaplan-Meier estimates excluding infants infected at birth showed no significant difference in HIV infection risk between the arms at any other time point including the primary endpoint at 6 months. Although there is a theoretical risk of early enhanced transmission due to high dose HIV antibody via immune complexes, we believe it is unlikely that the addition of the HIVIGLOB product led to an increased risk of transmission compared to the sdNVP arm

alone since the transmission rate in the HIVIGLOB arm was similar to the transmission rate in the sdNVP arm at six weeks of age. The fact that 26 women delivered prior to or missed their HIVIGLOB infusion raises the possibility of selection bias. However, the two study groups in the final analysis were similar in terms of maternal and infant characteristics - which is reassuring in terms of the internal validity of this study.

In conclusion, the theoretical plausibility of the benefit of adding passive immunotherapy with HIV immune globulin to sdNVP for further reduction in peripartum and early HIV-1 transmission through breast milk was not evident from this trial. Further studies using novel broadly neutralizing monoclonal antibodies may yield critical information on the ultimate contribution of passive immunotherapy to the prevention of mother-to-child transmission.

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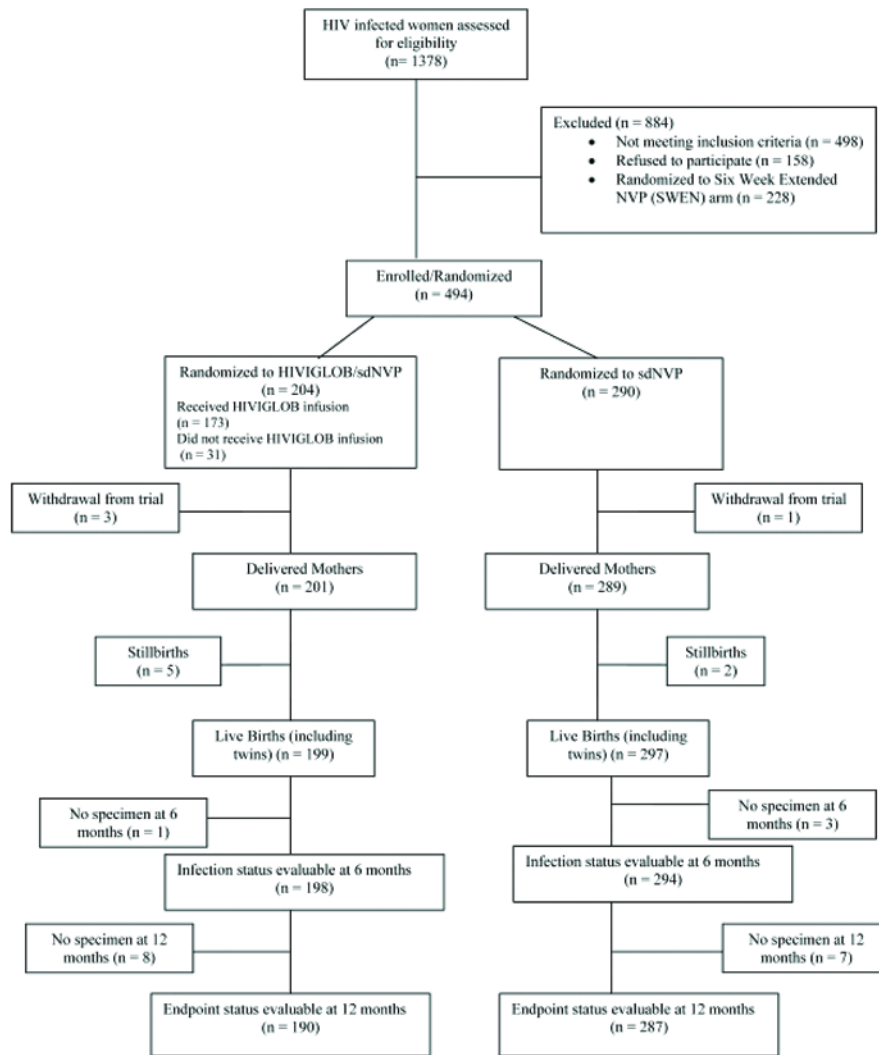
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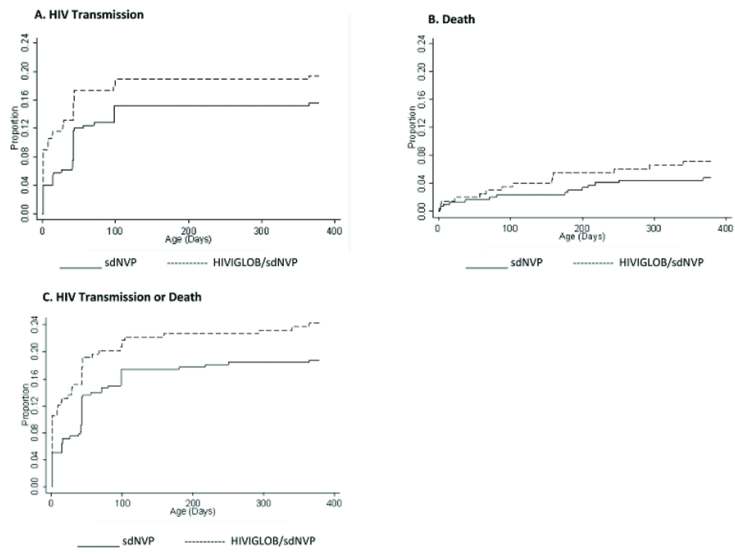
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**FIGURE 1.**  
HIVIGLOB/NVP TRIAL PROFILE



**Figure 2.** Kaplan-Meier Estimates of Infant HIV Transmission, Death and HIV Transmission or Death among all Live-Born Infants

**Table 1**

Comparison of Maternal and Infant Characteristics by Study Regimen\*

Study Arm	HIVIGLOB/sdNVP (n = 198)	sdNVP (n = 294)	p value
<b>Maternal Characteristics</b>			
Maternal age (yrs: median [p25, p75])	25 (22,29)	25 (22,29)	0.27
C-section [n (%)]	35 (17.7)	49 (16.7)	0.77
Received NVP dose [n (%)]	193 (97.5)	284 (96.6)	0.58
CD4 count at enrollment (cells/mm <sup>3</sup> ) [n (%)]			
≤ 200	27 ( 13.7)	56 (19.2)	
201-350	38 ( 19.3)	63 (21.6)	0.17
> 350	132 ( 67.0)	173 (59.3)	
Viral Load at enrollment (copies/ml: median [p25, p75])	34362 (9381, 106938)	41937 (10622, 117888)	0.20
<b>Infant Characteristics</b>			
Gender (Male: n [%])	87 (43.9)	151 (51.4)	0.11
Received post-partum NVP dose [n (%)]	194 (98.0)	280 (95.2)	0.11
Any Breastfeeding [n (%)]			
Week 1	189 (100)	272 (100)	NA
Week 6	170 (91.4)	262 (93.9)	0.30
Week 14	119 (63.3)	184 (65.9)	0.56
Month 6	60 (33.1)	86 (31)	0.64
Month 12	23 (13.1)	27 (9.9)	0.30
Exclusive Breastfeeding [n (%)] <sup>†</sup>			
Week 1	184 (97.4)	258 (94.9)	0.18
Week 6	152 (89.4)	223 (85.1)	0.20
Week 14	90 (75.6)	137 (74.5)	0.82
Month 6	25 (41.7)	32 (37.2)	0.59
Month 12	0 (0)	0 (0)	NA

\* Analysis for both maternal and infant characteristics based on number of live born infants, therefore mothers of twins counted twice.

<sup>†</sup> Exclusive breastfeeding status was assessed on a subset of infants whose mothers reported any breastfeeding at the specified study visits. The calculation of exclusive breastfeeding status at a certain visit/age accounted for status at all previous visits.

**Table 2**  
Risk of HIV Transmission, Death and HIV Transmission or Death among all Live-Born Infants and Infants Uninfected at Birth by Study Regimen

Age	All Live-Born Infants			Infants Uninfected at Birth		
	KM Estimate of risk n (%) HIVIGLOB/sdNVP	RR (95% CI)	p-value	KM Estimate of risk n (%) HIVIGLOB/sdNVP	RR (95% CI)	p-value
<b>HIV Transmission</b>						
birth	18 (9.1)	2.300 (0.030 - 4.500)	0.030	-	-	-
2 Wk	23 (11.6)	2.007 (0.023 - 3.662)	0.023	5 (2.8)	1.558 (0.457 - 5.314)	0.479
6 Wk	34 (17.2)	1.434 (0.106 - 2.220)	0.106	16 (8.9)	1.087 (0.591 - 2.000)	0.787
14 Wk	37 (18.7)	1.240 (0.290 - 1.846)	0.290	19 (10.6)	0.928 (0.543 - 1.585)	0.784
6 Mo	37 (18.7)	1.240 (0.290 - 1.846)	0.290	19 (10.6)	0.928 (0.543 - 1.585)	0.784
12 Mo	38 (19.2)	1.247 (0.271 - 1.846)	0.271	20 (11.1)	0.948 (0.563 - 1.598)	0.842
<b>Death</b>						
2Wk	3 (1.5)	1.118 (0.884 - 4.957)	0.884	3 (1.7)	1.176 (0.269 - 5.142)	0.829
6 Wk	4 (2.0)	1.188 (0.795 - 4.359)	0.795	4 (2.2)	1.254 (0.339 - 4.635)	0.734
14 Wk	8 (4.0)	1.690 (0.302 - 4.581)	0.302	7 (3.9)	1.562 (0.557 - 4.379)	0.396
6 Mo	11 (5.6)	1.630 (0.253 - 3.767)	0.253	9 (5.0)	1.407 (0.582 - 3.404)	0.448
12 Mo	14 (7.1)	1.485 (0.281 - 3.051)	0.281	11 (6.1)	1.230 (0.572 - 2.648)	0.596
<b>HIV Transmission or Death</b>						
2Wk	34 (17.2)	1.834 (1.061 - 3.169)	0.030	16 (8.9)	1.383 (0.543 - 3.521)	0.496
6 Wk	45 (22.7)	1.404 (0.935 - 2.107)	0.102	27 (15.0)	1.112 (0.647 - 1.912)	0.701
14 Wk	47 (23.7)	1.274 (0.888 - 1.827)	0.188	29 (16.1)	1.037 (0.655 - 1.643)	0.876
6 Mo	47 (23.7)	1.278 (0.895 - 1.826)	0.177	29 (16.1)	1.050 (0.669 - 1.648)	0.831
12 Mo	48 (24.2)	1.290 (0.916 - 1.818)	0.450	30 (16.7)	1.087 (0.710 - 1.666)	0.701